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## CHECKLIST AND INSTRUCTIONS

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- 1 TO EXPEDITE THE APPROVAL PROCESS** - The designated broker and/or an authorized officer/s of the company must complete and sign the application; **signature stamps will not be accepted**. Enter all names with complete address, city, state and zip codes. Only complete applications submitted with all required documents will be processed.

**2 REQUIRED ITEMS:**

- BROKER APPLICATION – COMPLETED & SIGNED**
- BROKER AGREEMENT – SIGNED BY BROKER OF RECORD & OWNER/CEO**
- RESUMES OF OWNERS & OFFICERS**
- CHECK FOR \$75.00 MADE PAYABLE TO ALL AMERICAN FINANCE**
  - \$75.00 is a processing fee, broker packages received without the check will not be processed
- COMPLETED/SIGNED W-9**
  - Provide the tax id # of the company under which earnings must be reported
- LIST OF LOAN OFFICERS & BRANCHES**
  - Include Loan Officer's phone numbers, e-mail IDs and branch addresses
- COPY OF ALL REQUIRED LICENSES**
  - Include the Broker's professional license(s), business license, lender's license, etc. from all states currently licensed in. Licenses must have the exact name of the business and/or owner's name as it appears on your application. **For signature verification purposes, please also include a copy of the signers Drivers License.**
- CORPORATE RESOLUTION/ARTICLES OF INCORPORATION**
  - If the company is a corporation, please provide a copy of the Corporate Resolution, a copy of the Articles of Incorporation and if doing business under a different name than your registered corporate name, include a copy of your d.b.a. filing. Names must match application and license.
- CREDIT REPORT**

If the company is a sole proprietor, please provide the owner/president's credit report (credit reports older than 90 days will not be accepted)

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- 3 WHERE TO SEND COMPLETED PACKAGE** – Kindly send your completed application and all required items along with the check for \$75.00 to: **All American Finance, 3600 San Felipe Road, San Jose, CA 95135**. If you have any further questions or concerns, please feel free to contact your Account Executive or call us at **408-868-6500**
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# BROKER APPLICATION

## COMPANY/BROKER INFORMATION (Main/Corporate Office or Parent Company)

Company Name:	Company Contact:
Doing Business As:	Phone No:
Street Address of Corporate Office:	
City:	<b>TYPE OF BUSINESS</b> (Please Check One)
State: Zip:	
Phone:	
Facsimile:	
E-mail Address:	
Broker(s) Name(s):	
Broker(s) SSN(s):	
Originating Loans since:	<input type="radio"/> Mortgage Banker <input type="radio"/> Sole Proprietor <input type="radio"/> Mortgage Broker <input type="radio"/> Partnership <input type="radio"/> Bank/S&L <input type="radio"/> Corporation <input type="radio"/> Credit Union <input type="radio"/> LLC <input type="radio"/> <input type="radio"/> LLP <input type="radio"/> <input type="radio"/> LP <input type="radio"/> <input type="radio"/> LTD

## CORPORATE FILING INFORMATION

Date Incorporated:	County:	State:
Federal ID #:	If no Federal ID#, please provide SSN:	

## LICENSE/APPROVAL (License is required.)

Licensed Broker/Registered Agent  
Or Designated Officer's Name :

License #:	Date Issued:	Expiration Date:
Broker's Phone:	E-mail:	
Company's License #:	Expiration Date:	

*If licensed or approved by any of the following entities, the below information is required.*

<input type="radio"/> FHA/HUD No.	Date Aprvd:	<input type="radio"/> Veterans Affairs No:	Date Aprvd:
<input type="radio"/> Fannie Mae No:	Date Aprvd:	<input type="radio"/> Freddie Mac No:	Date Aprvd:



# BROKER APPLICATION

## PRINCIPALS/OWNERS/PRESIDENT/CEO/OFFICERS INFORMATION

<b>Name:</b>	Title:	Percent Owned:	%
Social Security Number:	Phone:	E-mail:	
<b>Name:</b>	Title:	Percent Owned:	%
Social Security Number:	Phone:	E-mail:	
<b>Name:</b>	Title:	Percent Owned:	%
Social Security Number:	Phone:	E-mail:	
<b>Name:</b>	Title:	Percent Owned:	%
Social Security Number:	Phone:	E-mail:	

## COMPANY PROFILE AND PRODUCTION INFORMATION

Prior 24 months Funding Vol:	Number of Branches:	
Prior 12 months Funding Vol:	Avg Ln \$:	Number of Loan Officers:
Prior 12 months Funding Prime:	% Sub-Prime:	% Number of Processors:
Purchase:	% Refinance:	% Total Number of Employees:

## TECHNOLOGY RESOURCES

What processing software do you use (include name and version)?

What point of sale loan origination software?

Do you use Fannie Mae's Desktop Underwriter to approve loans?  Yes  No

Do you currently use Freddie Mac's Loan Prospector?  Yes  No

## AFFILIATED COMPANIES *(If additional Affiliated Companies, please use additional page.)*

<b>Company Name:</b>	Phone:
Type of Business:	Percent Ownership: %
<b>Company Name:</b>	Phone:
Type of Business:	Percent Ownership: %

## LENDER REFERENCES *(If additional Lender References, please use additional page.)*

<b>Lender Name:</b>	Phone:
Contact Person:	Title:
<b>Lender Name:</b>	Phone:
Contact Person:	Title:
<b>Lender Name:</b>	Phone:

## DISCLOSURE / DUE DILIGENCE

1. Has your company ever been suspended from selling/servicing/lending/brokering mortgages by any State or Federal regulatory agency or Investor? If yes, please explain:  
\_\_\_\_\_  
\_\_\_\_\_
2. Has your company, and/or principals or corporate officers, been named as defendant in a lawsuit for alleged fraud or misrepresentation in connection with any real estate related activity? If yes, please explain:  
\_\_\_\_\_  
\_\_\_\_\_
3. Has your company, and/or principals or corporate officers, been named as defendant in a criminal proceedings/complaint/conviction for alleged fraud or misrepresentation in connection with any real estate related activity? If yes, please explain:  
\_\_\_\_\_  
\_\_\_\_\_
4. Has your company, and/or principals or corporate officers, filed for protection from creditors under any provision of the bankruptcy laws within the past seven years and/or have open tax liens? If yes, please explain:  
\_\_\_\_\_  
\_\_\_\_\_
5. Has your company, and/or principals or corporate officers, ever had a real estate or other professional license suspended, revoked or received any other disciplinary action from a regulatory agency? If yes, please explain:  
\_\_\_\_\_  
\_\_\_\_\_

I (we) certify the above information to be true and correct. The undersigned declares that the foregoing information and all accompanying information are true to the best of his/her knowledge and belief. All American Finance is hereby authorized to obtain verification of information from any source (including character and credit references) in connection with this application.

Broker's Signature \_\_\_\_\_ Date \_\_\_\_\_

Owner/CEO/President's Signature \_\_\_\_\_ Date \_\_\_\_\_



# BROKER APPLICATION

## FACSIMILE AND EMAIL CONSENT

By signing below, the undersigned consents to receive promotional advertising and solicitation materials from All American Finance regarding products and services offered by All American Finance at any or all of the fax numbers or e-mail addresses provided in this application form. Further, the Applicant consents to receive such information at any other facsimile numbers and email addresses that the Applicant or its owners, officers, broker of record, loan agent or employees may provide to All American Finance from time to time after the date of this application.

Print Broker's Name	Broker's Signature	Date
Print CEO/Owner's Name	CEO/Owner's Signature	Date
Print VP/Director's Name	VP/Director's Signature	Date

By signing above, I certify that I am duly authorized to grant the above consents on behalf of the Applicant named herein. Further, I understand and agree that the above consents shall remain in effect until withdrawn by the Applicant.

## AUTHORIZATION TO RELEASE INFORMATION

Company Name: \_\_\_\_\_

I/We hereby authorize the release of any and all information to All American Finance that may be required for the purpose of initial broker/correspondent approval and periodic reviews of approved status with All American Finance.

Print Broker's Name	Broker's Signature	Date
Print CEO/Owner's Name	CEO/Owner's Signature	Date
Print VP/Director's Name	VP/Director's Signature	Date

By signing above, I certify that I am duly authorized to grant the above consents on behalf of the Applicant named herein. Further, I understand and agree that the above consents shall remain in effect until withdrawn by the Applicant.